CISI - UK Regulation & Professional Integrity
(Investment Advice Diploma - Module 1)

Course Summary

This unit of the CISI's RDR-compliant Investment Advice Diploma is also a prescribed unit of the Diploma in Investment Compliance. It ensures that candidates can apply appropriate knowledge and understanding of UK financial markets, regulation and ethics to financial planning, advice and management for retail customers.

This is one of the modules required to complete the Investment Advice Diploma, the CISI's main qualification complying with both RDR and the Guernsey Financial Advice Standard (GFAS).

Course Fee
£850

Course Dates & Times
19th September - 09:30 till 16:30
20th September - 09:30 till 16:30
21st September - 09:30 till 16:30

Course held at our training facility in Trinity Square Centre
Course Syllabus

Why choose: UK Regulation and Professional Integrity

UK Regulation and Professional Integrity:

- is the first step in IAD (Investment Advice Diploma) qualification pathway.
- ensures that candidates can apply appropriate knowledge and understanding of UK Financial Markets, Regulation and Ethics to financial planning, advice and management for retail customers.
- is awarded as a level 4 module certificate for successful candidates.

For our other IAD modules please visit: www.midshoreconsulting.com/cisi-iad

Exam & Course Information

2 hour multiple choice computer based test
(Can be taken at the Prometric Test Centre)
80 questions - 70% pass mark
Course held at Midshore's Training Facility
Refreshments, biscuits, optional lunch, slide notes & slides index provided.

Booking Information

Write to us at:
Midshore Consulting Limited
Upper Mansell Street
St Peter Port
Guernsey, GY1 1LY

Online: www.midshoreconsulting.com/book/
Email: Booking@midshoreconsulting.com
Telephone: +44 (0) 1481 730733

Cant make the dates? Or looking for alternate training methods?

Bespoke / Bulk Bookings
Our team are able to hold this course within your own offices or at our training facility. Please contact us to discuss bulk bookings or other training.

Online / Video Training
We have found more and more of our clients prefer to learn through digital media. Which is why we have created our “Midshore Online Training” portal.

Evening Classes
Please contact us for further information regarding our evening class availability. Our evening classes are usually held from 4:30 pm on any given day(s).

Other notes:
Directions to our training facility can be found at www.midshoreconsulting.com/directions
Workbook must be purchased directly from the CISI.
Examination & qualification registration must be booked directly with the CISI.
Exams can be sat 1st and 3rd Tuesday of each month at the Prometric Test Centre at Logicalis, Pitronnerie Road.